



ATTORNEY'S DOCKET NO. C01104/70005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAR 19 2002

Applicant: Kevin J. Dowling and Scott Johnston

Technology Center 2600

Serial No: 09/886,958

Filed: June 21, 2001

For: METHOD AND APPARATUS FOR CONTROLLING A
LIGHTING SYSTEM IN RESPONSE TO AN AUDIO INPUT

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FEB 28 2002

Examiner: Unassigned

Technology Center 2100

Art Unit: 2173

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 7th day of February, 2002.

Janine Michalski
Janine Michalski

Commissioner for Patents
Washington, D.C. 20231

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. Before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Kevin J. Dowling et al., Applicants

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Docket No. C01104/70005
Date: February 7, 2002
X

FORM PTO-1449/A and B (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

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APPLICATION NO.:	09/886,958	ATTY. DOCKET NO.:	C01104/70005
FILING DATE:	June 21, 2001		
APPLICANT:	Kevin J. Dowling, et al.		
GROUP ART UNIT:	2173	EXAMINER:	Unassigned

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U.S. PATENT DOCUMENTS

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/886,958	ATTY. DOCKET NO.: C01104/70005
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: June 21, 2001	
		APPLICANT: Kevin J. Dowling, et al.	RECEIVED
		GROUP ART UNIT: 2173	EXAMINER: <i>U. F. signed</i> FEB 28 2002
Sheet	2	of 2	<i>FD-22-2000</i>

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FOREIGN PATENT DOCUMENTS

OTHER ART – NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		International Search Report from PCT Application PCT/US01/19782	
		“ http://www.luminus.cx/projects/chaser ”, (November 13, 2000), pp. 1-16	

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]